

RESEARCH ARTICLE

# Circulating Tumor Cells Exhibit Metastatic Tropism and Reveal Brain Metastasis Drivers



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**ABSTRACT**

Hematogenous metastasis is initiated by a subset of circulating tumor cells (CTC) shed from primary or metastatic tumors into the blood circulation. Thus, CTCs provide a unique patient biopsy resource to decipher the cellular subpopulations that initiate metastasis and their molecular properties. However, one crucial question is whether CTCs derived and expanded *ex vivo* from patients recapitulate human metastatic disease in an animal model. Here, we show that CTC lines established from patients with breast cancer are capable of generating metastases in mice with a pattern recapitulating most major organs from corresponding patients. Genome-wide sequencing analyses of metastatic variants identified semaphorin 4D as a regulator of tumor cell transmigration through the blood-brain barrier and MYC as a crucial regulator for the adaptation of disseminated tumor cells to the activated brain microenvironment. These data provide the direct experimental evidence of the promising role of CTCs as a prognostic factor for site-specific metastasis.

**SIGNIFICANCE:** Interests abound in gaining new knowledge of the physiopathology of brain metastasis. In a direct metastatic tropism analysis, we demonstrated that *ex vivo*-cultured CTCs from 4 patients with breast cancer showed organotropism, revealing molecular features that allow a subset of CTCs to enter and grow in the brain.

**INTRODUCTION**

Brain metastasis has a devastating prognosis and accounts for significant morbidity and mortality in patients with cancer (1). Therapeutic options are limited, as most systemic therapeutic molecules, such as hydrophobic chemotherapeutic drugs, cannot penetrate the blood-brain barrier (BBB), and surgical resection is offered in only a limited number of clinical scenarios (2). The brain microenvironment is unique due

to the tight control imposed by the BBB to prevent breaches by most immune and tumor cells. Thus, compromising the BBB plays a crucial role in the metastatic colonization of tumor cells to the brain (3). Although important insights have been achieved using cell line modeling and imaging, our molecular knowledge of the pathophysiology of brain metastasis is still limited, which impedes the development of predictive and therapeutic approaches (1, 4). To the best of our knowledge, no studies have identified the underlying molecular mechanisms that allow patient-derived precursors of brain metastasis to transit to the brain. These precursors belong to a subset of circulating tumor cells (CTC) that enter the bloodstream and are expected to be uniquely capable of extravasation through the BBB (5). Mounting evidence has shown that CTCs reflect disease progression and treatment responses and therefore have considerable promise as a “liquid biopsy” for monitoring active tumor biology (6). However, these brain metastasis-initiating CTCs have yet to be fully characterized, mostly because of the difficulties in studying the biological properties of this population due to the scarcity of CTCs recovered from each patient’s blood sample (7). To overcome this limitation, we have investigated the recently established first cohort of long-term maintained patient-derived CTC lines via *ex vivo* cultures of CTCs isolated from patients with metastatic luminal breast cancers (8). This unique cell resource provided novel insights into molecular features that allow a subset of CTCs to adapt and grow in the brain.

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**RESULTS****Patient-Derived CTC Lines Recapitulate Human Metastases in Mice**

A crucial question is whether CTCs isolated from the blood of a patient with cancer can generate metastases with similar tropism(s) in mice, thus reflecting their metastasis-initiating properties (9). To assess the metastatic potential of these luminal-type breast cancer patient-derived CTC lines, we

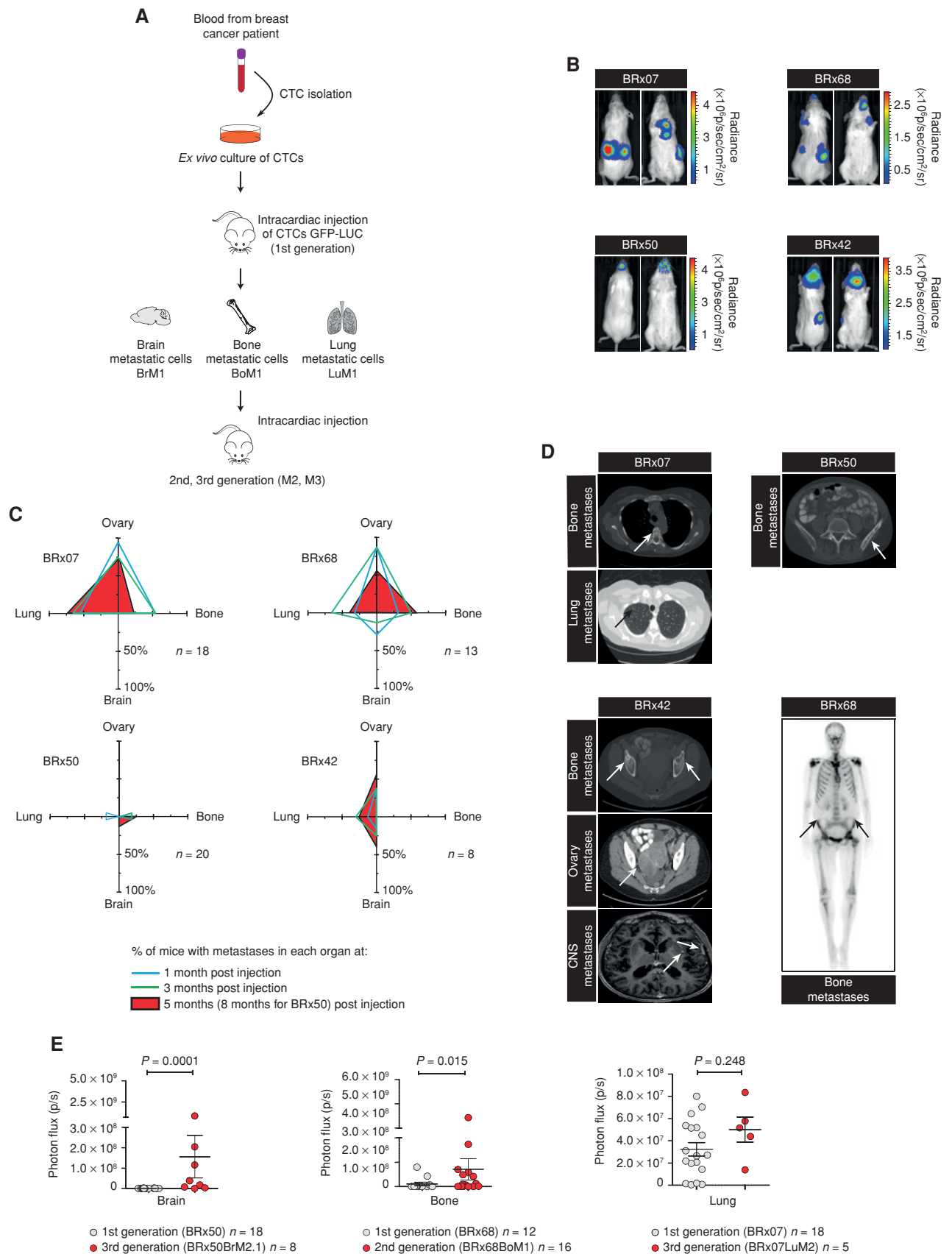
have utilized an experimental mouse model for metastasis by injecting GFP-LUC-labeled CTCs directly into the left ventricle of the heart in female immunodeficient NSG mice (Fig. 1A). The ability of four CTC lines (BRx07, BRx42, BRx50, and BRx68) to invade and colonize an organ was monitored by bioluminescence imaging for at least 5 months (Fig. 1B). BRx07 and BRx68 have a high metastatic potential (more than 80% of mice had metastases after 3 months) with the generation of simultaneous metastases in the bones, lungs, and ovaries. However, the BRx07 and BRx68 mice remained brain metastasis-free for up to 8 months. In contrast, BRx50 and BRx42 demonstrated a metastatic preference for the brain despite their low metastatic potential (Fig. 1C; Supplementary Fig. S1A). Of note, the metastatic signal evolved quite differently over time for each organ (Fig. 1C), reflecting a possible dynamic interaction of tumor cells with the microenvironment during colonization of secondary organs. Interestingly, the metastatic tropism of each CTC line in mice partially reflected the secondary lesions as found in the corresponding patients, as shown by clinical data (Fig. 1D; Supplementary Table S1). The fact that the metastatic recapitulation is not a one-to-one exact match of patient metastases could be due to the differences between species, or to the possibility that CTCs may shed from the most active metastases—therefore, the metastases generated in mice may indicate the origin of the CTCs and/or the potential capacity of those CTCs in subsequent organs. Remarkably, of the 4 patients with breast cancer from whom CTC lines were generated, the BRx42 patient developed a brain metastasis one year after CTC isolation (Fig. 1D; Supplementary Table S1). CTC line BRx42 generated from this patient showed the highest risk of brain metastases in mice (Fig. 1B and C; Supplementary Fig. S1A). Next, we explored the possibility of enriching tumor-cell subpopulations with enhanced metastatic activity to the bone, lung, and brain by an *in vivo* selection for specific metastatic tropisms. After CTC intracardiac inoculation in mice (generation 1), tumors were dissociated, and the resulting metastatic tumor cells (referred to as BrM1, BoM1, or LuM1 for generation 1 brain, bone, or lung metastasis, respectively) were subjected to a new round of *in vivo* selection (Fig. 1A). Cell morphology and estrogen receptor expression were conserved in metastatic variants after 8 to 12 months of *ex vivo* culture (Supplementary Fig. S1B). In contrast to their respective parental CTC lines, these metastatic variants exhibited a reduced cell proliferation and viability after culture (Supplementary Fig. S1C and S1D). In the BRx50 line, two rounds of *in vivo* selection yielded BRx50BrM2, which exhibited a significant increase in brain metastatic activity (Fig. 1E; Supplementary Fig. S1E; Supplementary Table S2). BRx50BrM2 generated brain metastases in 50% (6 of 12) of

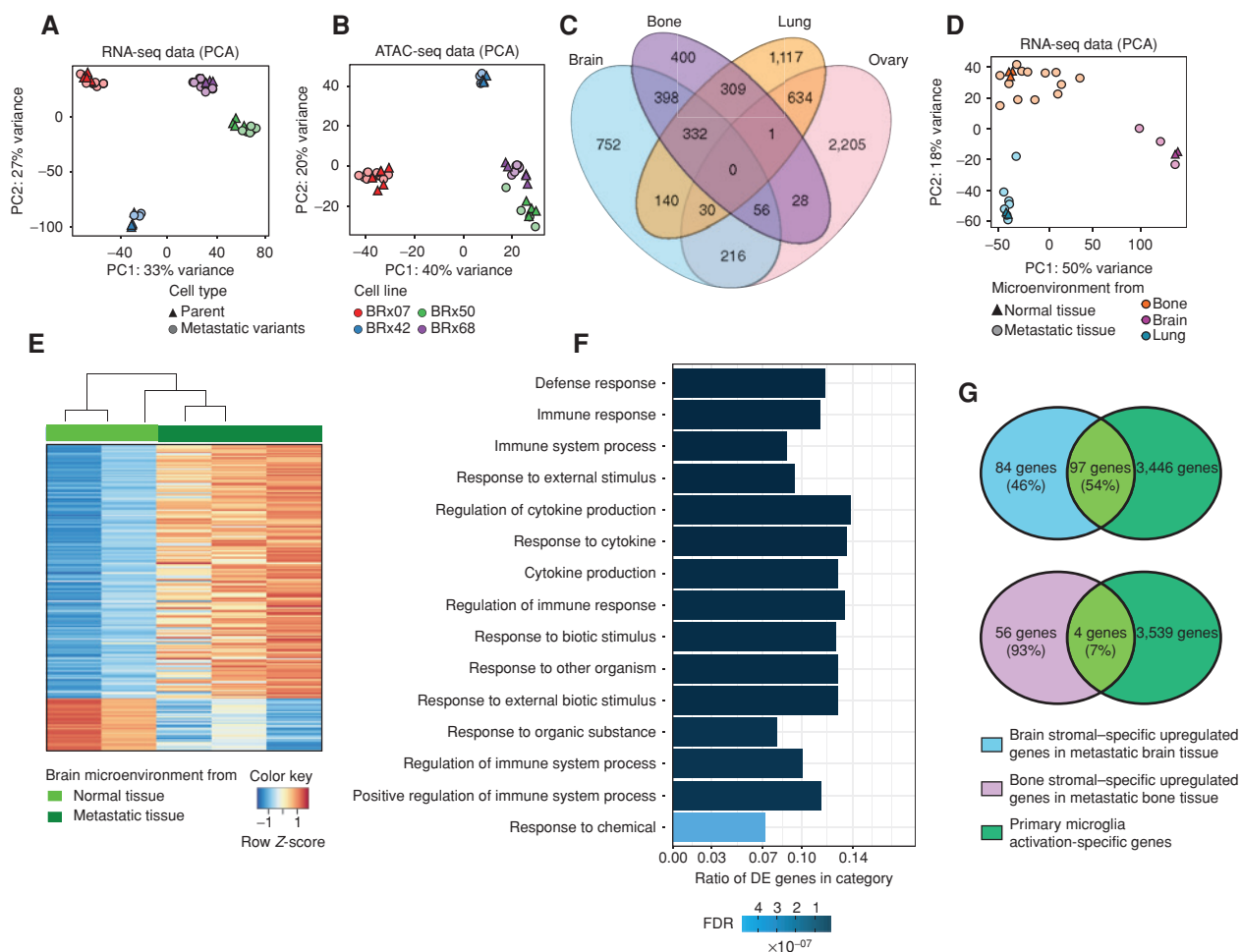
mice (generation 3), whereas parental BRx50 metastasized to the brain in 5% (1 of 20) of mice (generation 1). However, the brain metastatic activity of BRx50BrM2/3 decreased after a prolonged time in culture. Similar to the parental BRx50, BRx50BrM2 did not metastasize to the lung, but did show an increase in bone and ovary metastases (Supplementary Fig. S1F; Supplementary Table S2). Similarly, we showed that bone tropism can be enriched in BRx68 CTC lines. Although the lung metastasis signals did not show statistical significance, BRx07LuM2 generated lung metastases in 100% of the mice (increased from 70% in the first generation), and showed a decrease in bone metastases compared with the parental BRx07 (Fig. 1E; Supplementary Fig. S1F; Supplementary Table S2). Interestingly, BRx68BoM1 cells were significantly enriched for bone and brain tropism. Whereas parental BRx68 did not form brain metastases in 20 mice, BRx68BoM1 yielded brain metastases in 2 of 16 mice (Supplementary Table S2). The coenrichment for bone and brain tropism observed in CTC lines BRx50 and BRx68 suggests a possible partial sharing of metastatic drivers to those two organs.

### Global Analysis of Gene Expression and Chromatin Accessibility in CTC-Derived Metastases and Tumor Microenvironment

We next explored global genetic and gene-expression changes that mediate organ-specific CTC metastasis. We used RNA sequencing (RNA-seq) and Assay for Transposase-Accessible Chromatin sequencing (ATAC-seq) to assay gene expression and chromatin accessibility in parental CTCs and CTC-derived metastatic cells. Tumor cells were detected and sorted by tumor cell-specific GFP expression. Principal component analysis (PCA) revealed a pronounced clustering of samples according to the patient (Fig. 2A and B)—in agreement with previous reports of extensive transcriptional diversity between tumors (10). Compared with publicly available RNA-seq data from breast cancer cell lines (11), CTC lines and CTC-derived metastatic cells clustered distinctly, with closest distance to luminal-type cell lines (Supplementary Fig. S2A). Pairwise differential gene-expression analyses revealed unique genes differentially expressed in metastatic tumor cells isolated from brain, bone, lung, and ovary (Fig. 2C). Ovary metastatic tumor cells demonstrated the greatest number of differentially expressed genes: 2,205 genes were uniquely upregulated compared with tumor cells from other sites. Brain and bone metastatic tumor cells shared the largest set of differentially expressed genes: 786 genes are upregulated in both sites (398 genes shared only between brain and bone, as well as 332 genes and 56 genes shared together with lung and ovary, respectively). This observation supports our abovementioned result, suggesting a partial sharing of drivers of brain and bone metastases. Pathway analysis of

**Figure 1.** Patient-derived CTC lines recapitulate human metastases in mice. **A**, Schematic showing *ex vivo* expansion of CTCs and *in vivo* inoculating and passaging of CTC lines. **B**, Representative bioluminescence imaging (BLI) data of mice injected with CTC lines at 5 months (BRx07, BRx68, and BRx42) or 8 months (BRx50) after inoculation. Each image shows the back and front of the same mouse. **C**, Plots summarizing the percentages of mice exhibiting ovary, bone, brain, and lung metastases at different time points after CTC inoculation, based on *in vivo* and *ex vivo* organ BLI data.  $n$  = number of mice. **D**, Scan images of metastatic lesions in patients from whom CTC lines were generated. Arrows show tumor locations. CNS, central nervous system. **E**, Quantification of BLI intensity in brain, bone, and lung at 5 months after intracardiac inoculation of CTC or CTC-derived metastatic variants in mice. Circles represent individual mouse, horizontal lines represent the mean  $\pm$  SEM.  $n$ , number of mice.  $P$  values were obtained with Wilcoxon rank sum test.





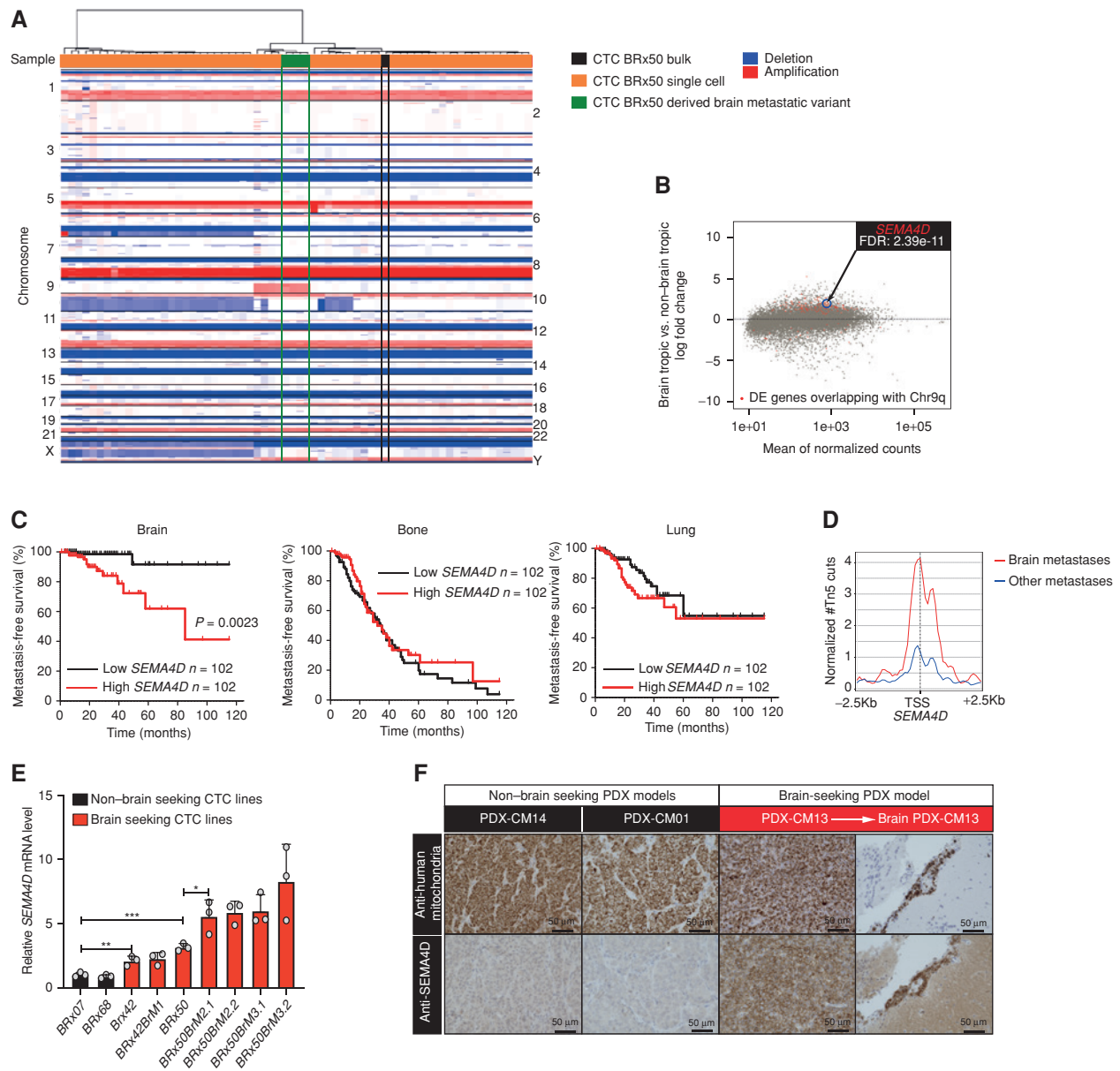
**Figure 2.** Global analysis of gene expression and chromatin accessibility in CTC-derived metastases and tumor microenvironment. PCA of RNA-seq (A) and ATAC-seq (B) data in tumor cells. CTC lines are color-coded, and cell types are shape-coded. C, Venn diagram depicting differentially expressed genes in the CTC-derived metastatic tumor cells between 4 different metastatic sites. D, PCA of RNA-seq data in stromal cells from bone, brain, and lung. Organs are color-coded, and conditions are shape-coded. E, Heat map depicting stromal-specific gene expression in normal brain tissue and metastatic brain tissue (differentially expressed genes  $FDR \leq 0.05$ ). F, Top enriched gene ontology pathways of upregulated genes in metastatic brain stromal cells after tumor formation relative to normal brain stromal cells. FDR value is color-coded and the ratio of differentially expressed (DE) genes in each category is represented in the bar graph. G, Venn diagram depicting DE gene observed in each comparison. Primary microglia activation-specific genes were derived from Das and colleagues (12).

genes uniquely upregulated in brain metastasis (Supplementary Table S3) indicated peroxisome, oxidative phosphorylation, Huntington disease, and Parkinson disease pathways as the top enriched pathways in brain metastatic cells ( $FDR = 0.013$ ). The complete result of pathway analysis is shown in Supplementary Fig. S2B. To investigate the tumor microenvironment changes in different metastases, we used RNA-seq to analyze expression changes in stromal cells after tumor formation in the brain, lung, and bone microenvironments relative to control stromal cells from tumor-free mice. PCA of the gene expression in stromal cells showed a clear tissue-specific clustering with a high variance between metastatic bone samples (Fig. 2D). Stromal gene expression change was the most pronounced between normal and metastatic tissue in the brain (208 genes), followed by bone (63 genes) and lung (2 genes; Fig. 2E; Supplementary Tables S4–S6). Pathway analysis of the upregulated genes in the brain metastatic microenvironment showed the significantly

enriched scores in multiple signatures related to immune responses (Fig. 2F), and 54% of the differentially upregulated genes overlapped with a gene signature of activated primary microglia (ref. 12; Fig. 2G; Supplementary Table S7).

### Identification of Semaphorin 4D Associated with Brain Metastasis

Copy-number variation (CNV) analyses revealed an amplification of chromosome 9q (chr9q13–34) in BRx50 brain metastatic variants (Fig. 3A; Supplementary Fig. S3A and S3B), which is absent in bulk DNA analysis of parental BRx50. We postulated that the chromosome 9q amplification in BRx50BrM is a clonally selected event for the initiation of brain metastasis. To investigate for the existence of preexisting amplification in a small subset of CTCs, we sorted 61 single cells from the parental BRx50 for CNV analysis and found that 4 of 61 CTCs carried the identical chromosome



**Figure 3.** Identification of semaphorin 4D (*SEMA4D*) associated with brain metastasis. **A**, Heat map of CNV data for a panel of 61 BRx50 single cells, BRx50 bulk, and 4 different BRx50-derived brain metastatic variants. Columns show samples, and rows show chromosomes. **B**, MA plot (log ratio vs. abundance) depicting gene-expression change in brain tropic tumor cells (BRx50, BRx42, and CTC-derived brain metastases) compared with non-brain tropic tumor cells (BRx07, BRx68, and CTC-derived lung, bone, and ovary metastases). Genes differentially expressed (FDR < 0.01) in brain tropic tumor cells and located on chromosome 9q amplified region are highlighted in red. **C**, Kaplan-Meier curves showing metastasis-free survival analysis of brain, bone, and lung in 204 patients with breast cancer (GSE12276). Patients were separated into two equal quantiles of low and high *SEMA4D* expression. *P* values were determined with log-rank test. **D**, Metaplot comparing chromatin accessibility around the *SEMA4D* TSS between CTC-derived brain metastases and CTC-derived other metastases. **E**, Histogram representing *SEMA4D* mRNA relative expression level (mean  $\pm$  SEM, two-tailed unpaired *t* test with three independent replicates). **F**, Representative images of IHC staining with antibodies against human mitochondria (top) and *SEMA4D* (bottom) in 3 breast cancer brain metastasis PDX tumors in the flank (left 3 columns) and brain metastatic lesion generated by CM13 (Brain PDX-CM13, right column; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ).

9q amplification (Fig. 3A). We identified genes residing on chromosome 9q, for which the expression is altered in tumor cells with a preferential tropism for the brain (Fig. 3B; Supplementary Table S8). To further refine those genes, we examined whether there was any association between gene expression in the primary tumor and brain metastasis relapse

using a dataset of 204 advanced primary breast tumors with clinical annotation (13). Kaplan-Meier analysis of metastasis-free survival (MFS) for bone, lung, and brain identified the *SEMA4D* gene as a candidate gene for brain metastasis (Table 1). High expression of *SEMA4D* in the primary site was associated with a significant decrease of MFS in the brain, but not

**Table 1. Identification of genes associated with brain MFS**

Gene symbol	Log <sub>2</sub> fold change brain tropic vs. non-brain tropic	P <sub>adj</sub>	Brain MFS P	MFS description
<i>SEMA4D</i>	1.80	2.39 <sup>e-11</sup>	0.0023	Decreased brain MFS
<i>FAM120AOS</i>	1.34	2.41 <sup>e-13</sup>	0.0024	Increased brain MFS
<i>FAM189A2</i>	5.53	4.13 <sup>e-16</sup>	0.006	Increased brain MFS
<i>SLC44A1</i>	1.41	1.16 <sup>e-13</sup>	0.0101	Increased brain MFS
<i>CTSL</i>	2.91	5.52 <sup>e-11</sup>	0.019	Decreased brain and lung MFS
<i>NOL8</i>	1.74	6.71 <sup>e-11</sup>	0.046	Increased brain MFS
<i>UBQLN1</i>	1.57	2.95 <sup>e-23</sup>	0.0566	Increased brain MFS
<i>PHF2</i>	1.29	4.85 <sup>e-10</sup>	0.078	Increased brain MFS
<i>FXN</i>	2.97	2.44 <sup>e-17</sup>	0.088	
<i>CDC14B</i>	3.31	2.61 <sup>e-15</sup>	0.1217	
<i>NAA35</i>	1.23	1.96 <sup>e-11</sup>	0.2351	
<i>OSTF1</i>	1.38	1.67 <sup>e-10</sup>	0.3012	
<i>RNF20</i>	1.92	4.92 <sup>e-21</sup>	0.5576	
<i>MFSD14B</i>	1.41	6.79 <sup>e-10</sup>	0.6678	
<i>TDRD7</i>	2.68	1.80 <sup>e-14</sup>	0.7787	
<i>BICD2</i>	1.24	3.00 <sup>e-10</sup>	0.786	
<i>SLC35D2</i>	1.72	5.39 <sup>e-11</sup>	0.8438	
<i>TMEM245</i>	1.47	3.52 <sup>e-10</sup>	0.8911	
<i>SPTLC1</i>	1.89	2.75 <sup>e-31</sup>	0.92	
<i>C9orf64</i>	1.76	4.94 <sup>e-14</sup>	0.9235	

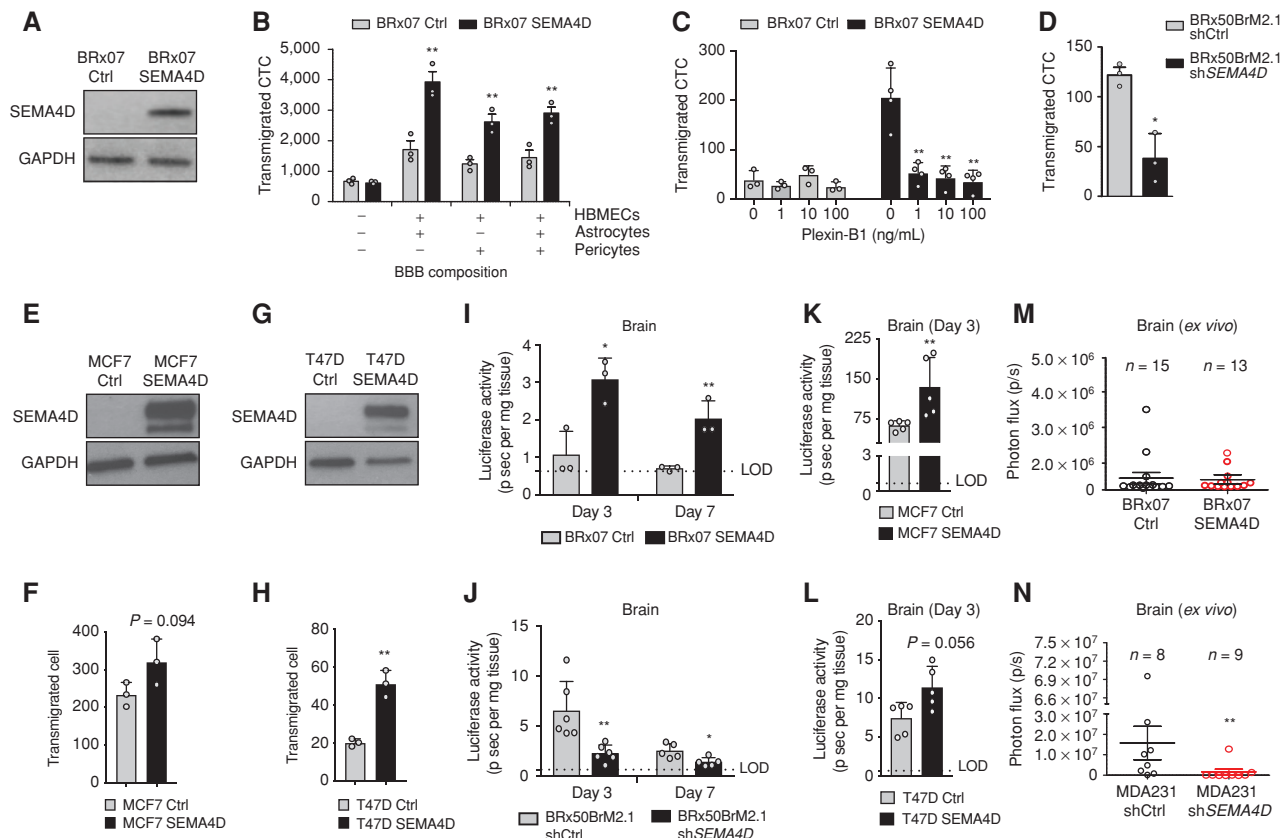
NOTE: The top 20 upregulated genes in brain tropic tumor cells and located on the chromosome 9q amplified region were selected based on their expression change P<sub>adj</sub> value. Genes were analyzed for association with MFS for brain, lung, and bone using data from a public dataset (GSE12276). Brain MFS P values were determined with log-rank test.

in the lung and bone (Fig. 3C). Besides *SEMA4D*, the *CTSL* gene was associated with both brain and lung relapse. Given that *SEMA4D* expression is associated with brain relapse only, we decided to further investigate the potential role of *SEMA4D* in brain metastasis formation. Chromatin accessibility around the *SEMA4D* transcription start site (TSS) was higher in CTC-derived brain metastases compared with other metastatic sites (Fig. 3D). In addition, the CTC lines BRx50 and BRx42, which metastasized to the brain, presented higher *SEMA4D* expression levels compared with CTC lines with no brain tropism in mice (BRx07 and BRx68; Fig. 3E; Supplementary Fig. S3C and S3D). *SEMA4D* expression was further increased in BRx50 brain metastatic cells compared with the parental BRx50 CTC line (Fig. 3E). We analyzed a small cohort of breast cancer patient-derived xenografts (PDX), established by subcutaneous implantation of patient samples into the mouse flank (14). Only one case (PDX-CM13) in this cohort showed spontaneous overt brain metastases reliably and corresponded to the strongest *SEMA4D* staining (Fig. 3F). We also investigated a cohort of 12 human brain metastasis tumor samples directly obtained from surgical resection and found that *SEMA4D* was expressed in 7 of 12 patient samples (Supplementary Fig. S4; Supplementary Table S9). However, the corresponding primary tumors or other metastatic sites were unavailable; thus, there were no comparative

studies to determinate the significance of *SEMA4D* expression in brain metastases.

### **SEMA4D Mediates Brain Metastasis by Promoting CTC Transmigration through the BBB**

Semaphorins constitute a large family of secreted or transmembrane proteins and have versatile roles in axonal guidance and the immune system (15). Most of the effects of semaphorin (*SEMA4D*) are mediated by its interaction with Plexin-B1 (PLXNB1), B2, and CD72 receptors. Interestingly, Plexin-B1 is highly expressed in the brain of female NSG mice compared with lung and bone (Supplementary Fig. S3E). Previous reports showed evidence of the proangiogenic role of *SEMA4D* through its interaction with Plexin-B1 (16). Plexin-B1 expression was observed at a significantly higher level in human brain microvascular endothelial cells (HBMEC) compared with human lung microvascular endothelial cells (HLMEC), pericytes, or astrocytes (Supplementary Fig. S3F and S3G). Therefore, we hypothesized that *SEMA4D* binding to Plexin-B1 on brain endothelial cells affects the transmigration of CTCs through the BBB, a selective barrier composed of endothelial cells, pericytes, and astrocytes. We first tested this hypothesis using an *in vitro* BBB assay. *SEMA4D* overexpression in the non-brain tropic CTC line BRx07 promoted the ability of the cells to cross the BBB (Fig. 4A and B;



**Figure 4.** SEMA4D mediates brain metastasis by promoting CTC transmigration through the BBB. **A**, Immunoblot analysis with antibodies against SEMA4D and GAPDH in BRx07 control cells (BRx07 Ctrl) and BRx07-overexpressing SEMA4D cells (BRx07 SEMA4D). **B**, Bar graph showing quantification of transigrated GFP-positive cells in *in vitro* BBB assay. *P* values were obtained with two-tailed unpaired *t* test. **C**, Bar graph showing quantification of transigrated GFP-positive cells preincubated with increasing concentration of Plexin-B1 peptide. *P* values were obtained with two-tailed unpaired *t* test. **D**, Bar graph showing number of transigrated GFP-positive BRx50BrM2.1 control cells (BRx50BrM2.1 shCtrl) and GFP-positive BRx50BrM2.1 SEMA4D knockdown cells (BRx50BrM2.1 shSEMA4D). *P* values were obtained with two-tailed unpaired *t* test. **E**, Immunoblot analysis with antibodies against SEMA4D and GAPDH in MCF7 cells control (Ctrl) and MCF7-overexpressing SEMA4D cells. **F**, Bar graph showing number of transigrated GFP-positive cells. *P* values were obtained with two-tailed unpaired *t* test. **G**, Immunoblot analysis with antibodies against SEMA4D and GAPDH in T47D cells control (Ctrl) and T47D-overexpressing SEMA4D cells. **H**, Bar graph showing number of transigrated GFP-positive cells. *P* values were obtained with two-tailed unpaired *t* test. *Ex vivo* luciferase signal from mice brain extracted at days 3 and 7 (**I** and **J**) or day 3 (**K** and **L**) after intracardiac injection of BRx07 (**I**), BRx50 (**J**), MCF7 (**K**), or T47D (**L**) cells with control or high/low expression of SEMA4D (mean  $\pm$  SEM, two-tailed Wilcoxon rank sum test). LOD, limit of detection. Quantification of *ex vivo* BLI intensity in brain after intracardiac inoculation of BRx07 (**M**) or MDA-MB-231 (**N**) cells in mice at 3 months or 7 weeks, respectively. Circles represent individual mouse ( $n$  = number of mice, mean  $\pm$  SEM, two-tailed Wilcoxon rank sum test; \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ).

Supplementary Fig. S3H). Furthermore, preventing SEMA4D–Plexin-B1 receptor interaction by incubating the BRx07-SEMA4D cells prior to BBB assay with a small Plexin-B1 peptide—corresponding to the SEMA4D binding domain—was able to reduce BBB transmigration (Fig. 4C). Similarly, coincubating BBB cocultures with a recombinant human SEMA4D protein to block the binding of Plexin-B1 prior to the assay suppressed CTC transmigration (Supplementary Fig. S3I), indicating the importance of interaction between CTC membrane-bound SEMA4D and endothelial cell Plexin-B1 in mediating the BBB transmigration. In BRx50BrM2, shRNA knockdown of *SEMA4D* decreased the ability of these cells to cross the BBB (Fig. 4D; Supplementary Fig. S3J). As these CTC lines were derived from patients with luminal-type breast cancer and our previous global gene-expression analysis showed a proximity of CTC lines with the luminal-type

cell lines (Supplementary Fig. S2A), we further confirmed the effect of SEMA4D in the breast cancer luminal-type cell lines MCF7 and T47D. SEMA4D overexpression increased BBB transmigration of both cell lines (Fig. 4E–H). Crossing the BBB is a crucial first step in the initiation of brain metastasis. Therefore, we next examined the effect of SEMA4D *in vivo* at early stages of metastasis with a sensitive luciferase assay using whole-organ lysate. Normal organ lysate and lysate with a defined number of spiked-in cells were analyzed to establish the limit of detection (LOD; Supplementary Fig. S3K). At 3 and 7 days after inoculation, this analysis showed that SEMA4D significantly promotes BRx07 cells to infiltrate the brain (Fig. 4I), but not the lung or ovaries (Supplementary Fig. S3L and S3M). Conversely, shRNA knockdown of *SEMA4D* in brain metastasis-forming cells (BRx50BrM) reduced the number of cells infiltrating the brain (Fig. 4J; Supplementary



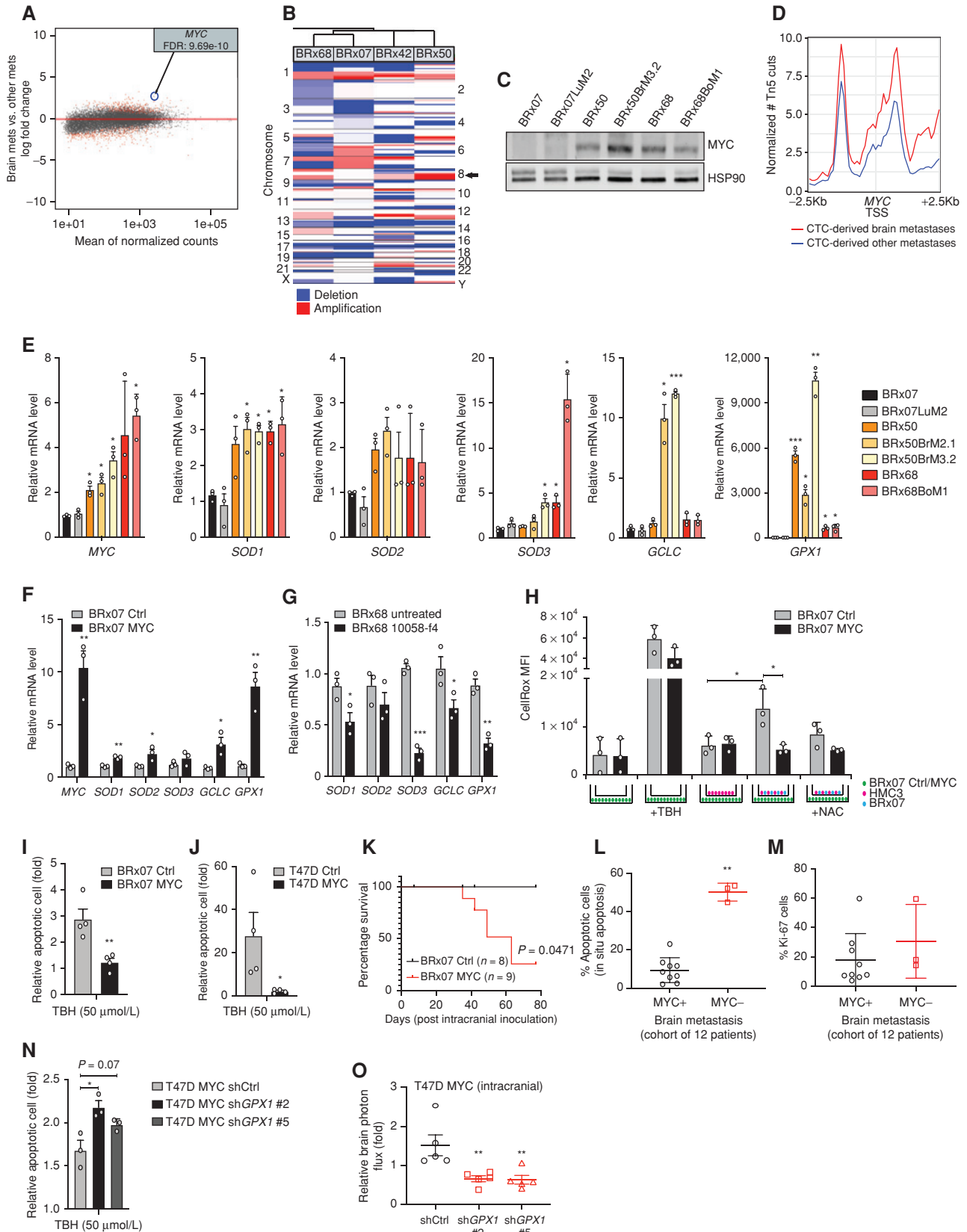
Fig. S3N and S3O). We extended these results with MCF7 and T47D cell lines (Fig. 4K and L; Supplementary Fig. S3P and S3Q). Intriguingly, in a long-term observation, SEMA4D did not affect metastatic tumor growth after intracardiac injection of BRx07 (Fig. 4M), indicating that SEMA4D is not sufficient to drive overt brain metastasis. To test whether SEMA4D is necessary in brain metastasis-capable tumor cells, we used shRNA-mediated suppression of *SEMA4D* in the highly metastatic breast cancer cell line MDA-MB-231 and found that sh*SEMA4D* significantly inhibited brain metastasis formation in the long-term assay (Fig. 4N; Supplementary Fig. S3R and S3S). Because SEMA4D has been previously shown to have a role in angiogenesis (16), we directly injected cells into the brain via intracranial injection but found no significant changes in metastatic tumor growth of BRx07 (Supplementary Fig. S3T). In addition, tumor-cell proliferation remained unchanged after overexpressing SEMA4D in BRx07 cells *in vitro* (Supplementary Fig. S3U). These data suggest that SEMA4D is necessary for the initial step of crossing the BBB, but is not sufficient for tumor-cell colonization. Other factors are needed for successful colonization in the brain microenvironment, which are absent in BRx07. As indicated in previous studies (13, 17), the complexity of brain metastasis may require activation of multiple pathways with distinct roles for both BBB crossing and adaptation.

### MYC Promotes Brain Metastasis by Mitigating the Oxidative Stress Elicited by Activated Microglia

Following this reasoning, we investigated highly expressed genes in brain metastasis compared with tumors at other organ sites that would play a complementary role with SEMA4D in promoting brain metastasis. Given that our data suggested the activation of an immune response in the brain after tumor formation (Fig. 2F), we sought factors that could facilitate tumor-cell adaptation into activated brain microenvironment. Activation of astrocytes and microglia serves as a major component in the brain immune response and engages the production of cytokines and oxidative stress (18, 19). Our second most significantly upregulated gene in brain metastases relative to other metastases is *SERPINA5*, encoding a Ser-

pin family member that potentially aids disseminated tumor cells to resist the toxic effect of activated astrocytes as shown previously (ref. 20; Supplementary Table S10). Infiltration of activated microglia was observed within regions where BRx07 cells were injected into mouse brains but not in areas treated with sham injection (Supplementary Fig. S5A). We also confirmed the presence of infiltrating microglia in human brain metastases in a cohort of 11 patients with cancer (10 of 11 patients; Supplementary Fig. S4). These observations motivated us to investigate the role of proto-oncogene *MYC* in this context because it is the fifth most significantly upregulated gene (following *MED1*, *SERPINA5*, *HEXIM1*, and *PEBP1* with the highest fold change) in CTC-derived brain metastases relative to other metastases (Fig. 5A; Supplementary Table S10). In addition, amplification of *MYC* (chr8q24.21) was found in the brain tropic CTC lines BRx50 and BRx42 but not in BRx07 (Fig. 5B). Indeed, *MYC* is often expressed in a cohort of brain metastasis tumor samples (9 of 12; Supplementary Fig. S4; Supplementary Table S9). Furthermore, *MYC* expression was higher in the CTC line BRx50 than BRx07 and remained high in BRx50BrM (Fig. 5C) and chromatin accessibility around the *MYC* TSS was higher in CTC-derived brain metastases compared with other sites of metastasis (Fig. 5D). *MYC* plays a role in cell-cycle progression, survival, and cellular transformation (21). We evaluated whether *MYC* could help tumor cells to mitigate the oxidative stress induced by activated microglia. Studies showed that *MYC* can promote resistance to oxidative damage by inducing the expression of antioxidant enzymes (22). Indeed, genes encoding five key antioxidant enzymes—superoxide dismutase 1, 2, and 3 (*SOD1*, *SOD2* and *SOD3*), glutathione peroxidase 1 (*GPX1*), and gamma-glutamylcysteine synthetase (*GCLC*)—were expressed at high levels in *MYC*-high CTCs and CTC-derived brain metastatic tumor cells (Fig. 5E). Ectopic overexpression of *MYC* in BRx07 cells upregulated expression of genes encoding these antioxidant enzymes (Fig. 5F). Conversely, blocking *MYC* activity (inhibition of nuclear translocation of *MYC*) in BRx68 or MDA-MB-231 downregulated expression of these enzymes (Fig. 5G; Supplementary Fig. S5B and S5C). In MCF7 and T47D cell lines, we further confirmed *MYC*-driven upregulation of

**Figure 5.** *MYC* promotes brain metastasis by mitigating the oxidative stress elicited by activated microglia. **A**, MA plot depicting gene-expression change in CTC-derived brain metastases compared to other CTC-derived metastases. Genes differentially expressed (FDR < 0.01) in brain metastatic tumor cells are highlighted in red. **B**, Heat map representing copy-number alterations for a panel of CTC lines. Arrow shows chromosome 8. **C**, Immunoblot analysis of *MYC* expression level. **D**, Metaplot comparing chromatin accessibility around the *MYC* TSS domain in CTC-derived brain metastases and other metastases. **E–G**, Histogram representing *MYC*, *SOD1*, *SOD2*, *SOD3*, *GCLC*, and *GPX1* mRNA relative expression levels in CTC lines and CTC-derived metastatic tumor cells (**E**), or BRx07 control (BRx07 Ctrl) and overexpressing *MYC* (BRx07 MYC) cells (**F**), or BRx68 untreated and treated with 100 μmol/L of *MYC* inhibitor (10058-f4; two-tailed unpaired t test; **G**). **H**, Control or BRx07-MYC cells were cocultured (on the bottom of the well) with human microglia (HMC3) with or without presence of BRx07 cells (on the top of the chamber insert with 0.4-μm pores on membrane to allow media exchange). Intracellular ROS levels were measured in BRx07-control and BRx07-MYC cells on the bottom using CellRox orange (CellRox) and median fluorescence intensity (MFI) was quantified by FACS. 50 μmol/L tert-Butyl hydroperoxide (TBH) and 5 mmol/L N-Acetyl Cysteine (NAC) were used as positive controls for inducing and reducing ROS levels, respectively (two-tailed unpaired t test). Schematic of *in vitro* culture conditions is displayed at the bottom. **I** and **J**, Quantification of apoptotic cells (annexin V-positive cells) after treatment with 50 μmol/L of tert-Butyl hydroperoxide (TBH). BRx07 (**I**) and T47D (**J**) cells were treated for 24 hours and compared with untreated cells (fold change, two-tailed unpaired t test). **K**, Kaplan-Meier curves showing brain tumor progression-free survival analysis of mice injected with BRx07 control or *MYC*-overexpressing cells, using 10-fold growth increase as threshold. *n* = number of mice (two-sided log-rank test). Quantification of *in situ* apoptosis level (TUNEL; **L**) or Ki-67-positive cells by IHC staining of *MYC*-positive (*MYC*+) or *MYC*-negative (*MYC*-) brain metastases, with at least 3 independent fields per sample (**M**). Circles represent individual sample (mean ± SEM, two-tailed Wilcoxon rank sum test). **N**, Quantification of apoptotic cells (annexin V-positive cells) in T47D overexpressing *MYC* control cells (shCtrl) or *GPX1* knockdown cells (sh*GPX1* #2 and #5) after treatment with 50 μmol/L of tert-Butyl hydroperoxide (TBH). Cells were treated for 24 hours and compared with untreated cells (fold change, two-tailed unpaired t test). sh*GPX1* #2 and #5 refer to two different hairpin sequences. **O**, Quantification of BLI intensity in brain at 3 weeks after intracranial inoculation. Circles represent individual mouse (mean ± SEM, *n* = number of mice, two-tailed Wilcoxon rank sum test). In bar graphs, error bars represent SEM and circles represent each independent experiment (\*, *P* < 0.05; \*\*, *P* < 0.01; \*\*\*, *P* < 0.001).



the GPX1 expression (Supplementary Fig. S5D and S5E), suggesting a direct role of MYC in regulating this gene. We next evaluated the reactive oxygen species (ROS) level in CTCs cocultured with microglia. Coculture studies showed that ROS accumulate in CTCs only when microglia are activated after physical contact with tumor cells—not by microglia alone or media exchange—and such accumulation can be mitigated by high levels of MYC in CTCs (Fig. 5H; Supplementary Fig. S5F). Furthermore, to assess the contribution of MYC in tumor-cell survival under oxidative stress, we compared ROS-induced apoptosis in BRx07 and T47D cells. A reduced apoptosis level was observed in MYC-overexpressing cells after treatment with tert-butyl hydroperoxide, indicating a potential role of MYC in promoting tumor-cell survival by mitigating oxidative stress (Fig. 5I and J). We evaluated the effect of MYC on tumor growth *in vivo*, by intracranial injection of BRx07 overexpressing MYC. Compared with the control group, despite a similar cell proliferation *in vitro*, MYC stimulated tumor growth in mouse brains, suggesting a better adaptation of BRx07 cells in the brain microenvironment when MYC was expressed (Fig. 5K; Supplementary Fig. S5G and S5H). Consistent with previous studies (23), we found that MYC dramatically promoted tumor formation of MCF7 and T47D cell lines in the brain (Supplementary Fig. S5I), despite a similar proliferation rate between control and MYC-expressing cells *in vitro* (Supplementary Fig. S5H). In cancer patient brain metastases, we assessed a possible association of MYC with proliferation and apoptosis of tumor cells. We detected significantly more *in situ* apoptosis in MYC-negative brain tumors compared with MYC-positive tumors (Fig. 5L; Supplementary Fig. S4; Supplementary Table S9). However, tumor-cell proliferation (with Ki-67 positivity) in this cohort did not show significant difference associated with MYC status (Fig. 5M; Supplementary Fig. S4; Supplementary Table S9). Finally, to determine the mechanism underlying MYC-mediated brain metastasis, we tested the effect of GPX1 depletion in MYC-overexpressing tumor cells, because of a clear association of GPX1 expression with MYC levels in CTC and breast cancer cell lines (Fig. 5F and G; Supplementary Fig. S5C–S5E), and its upregulation in CTC-derived brain metastases relative to other metastases (5.6 fold change; Supplementary Table S10). ROS-induced apoptosis assay revealed that GPX1 depletion reduced MYC-mediated survival effect in T47D cells (Fig. 5N; Supplementary Fig. S5J). Moreover, GPX1 knockdown decreased the brain tumor activity of T47D-MYC cells *in vivo* (Fig. 5O). These data support a model in which MYC promotes brain metastasis by mitigating oxidative stress via upregulation of the GPX1 enzyme.

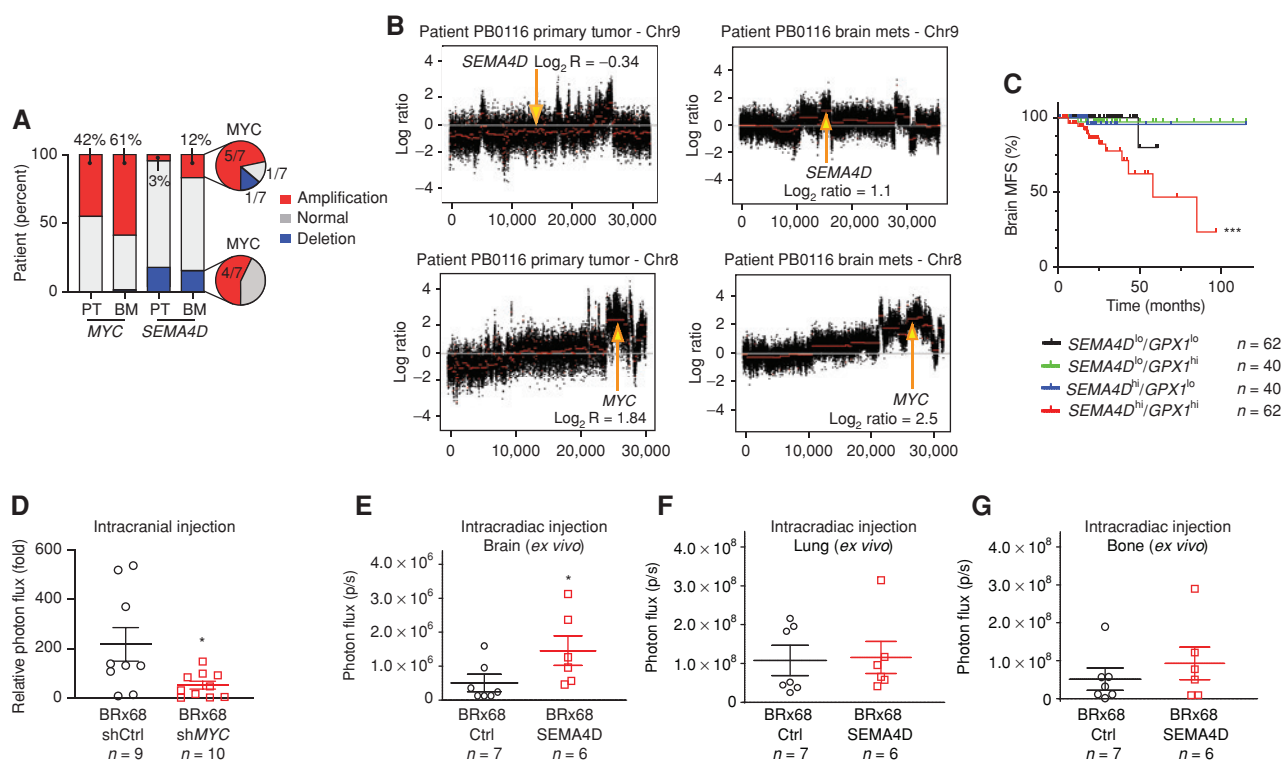
### Association of SEMA4D and MYC with the Brain Metastatic Phenotype

Next, we examined the contribution of SEMA4D and MYC together in brain metastasis. We explored genetic alteration of both *SEMA4D* and *MYC* genes in brain metastases using a previously reported dataset consisting of a cohort of 61 trios of primary tumor, brain metastasis, and matched normal tissue from patients with various cancers (phs000730; ref. 24). Analysis showed that 37 patients (61% of total cases) exhibited *MYC* amplification and 7 patients (12% of total cases) exhibited *SEMA4D* amplification in brain metastasis (Fig. 6A). Among these patients, 17 of 37 (46%) and 5 of 7 (71%) are newly

acquired or selected *MYC* and *SEMA4D* amplifications in brain metastasis, respectively. In addition, 5 of 7 (71%) brain metastases with *SEMA4D* amplification also harbor *MYC* amplification (Fig. 6A and B). We further evaluated whether expression of both *MYC* and *SEMA4D* can stratify patients with cancer. Kaplan–Meier analysis of the dataset GSE12276 revealed that high levels of SEMA4D and MYC together in primary tumors are significantly associated with brain relapse (Supplementary Fig. S5K). Importantly, we noted that the association of high *SEMA4D* and *GPX1* expression in primary tumors has a pronounced prognostic value for the development of brain metastasis in patients with breast cancer (Fig. 6C). Finally, because *MYC* was amplified and highly expressed in the non-brain tropic CTC line BRx68 (Fig. 5B and C), we directly injected BRx68 cells with control or shRNA against *MYC* into the brain via intracranial injection to test the effect of these cells in colonizing the brain. Distinct from BRx07 cells, direct inoculation of BRx68 cells in the brain led to tumor formation with a similar growth rate between control and *MYC* knockdown within the first 4 weeks (Fig. 6D; Supplementary Fig. S5L). However, shMYC resulted in reduced brain tumor formation starting from week 5. We then asked whether overexpressing SEMA4D could increase the brain metastatic activity of these cells when introduced into blood circulation. Indeed, expressing SEMA4D in MYC-high BRx68 cells resulted in significantly higher brain metastatic activity when injected intracardially, whereas it had no obvious influence on lung and bone metastasis (Fig. 6E–G). Taken together, these results support the relevance of SEMA4D and MYC in brain metastasis, by contributing to distinct steps of metastasis.

## DISCUSSION

In a direct metastatic tropism analysis, we show that CTCs derived from patients with breast cancer exhibit a characteristic tissue tropism by largely recapitulating human metastatic disease in mice, proving the potential utility of CTCs in dissecting metastatic mechanisms and monitoring disease progression. In the case of BRx42, *ex vivo*-expanded CTCs—isolated long before the appearance of brain metastasis in the patient—showed tropism for the brain. To reasonably conclude that CTCs could predict metastasis, more studies with larger sample size would be needed. Despite the limitations of a small patient cohort and an “N of 1” predictive case, this study is a proof of concept that intrinsic molecular features of metastatic precursors among CTCs could provide novel insights into the mechanisms of metastasis. Previous studies have characterized molecular features of freshly isolated or *ex vivo* cultured CTCs (25–29) and demonstrated their tumor-initiating properties via direct injection of CTCs into the femoral bone or flank of immunodeficient mice (30, 31). In this new study, we demonstrated the feasibility of using patient-derived CTCs to identify metastatic precursors that provide novel mechanistic insights into the complicated brain metastasis formation. Although the brain is typically considered to be an immune-privileged site, the use of immunodeficient mice in this study has potential limitations. This may be more evident in other metastatic sites such as the lung and bone. In future studies, it will be important to explore the contribution of immune cells in the metastatic ability



**Figure 6.** Association of SEMA4D and MYC with the brain metastatic phenotype. **A**, Histogram depicting distribution of MYC and SEMA4D alterations across the cohort of patients (NCBI dbGAP phs000730). Copy-number variation (CNV) is compared between matched primary tumor (PT) and brain metastasis (BM). Percent or number of total cases with gene amplification are given (total  $n = 62$ ). **B**, Segmented exome CNV analysis of chr8 and chr9 in matched primary breast tumor and brain metastasis of patient PB0116 (NCBI dbGAP phs000730).  $x$ -axis represents position on chromosome and  $y$ -axis the  $\log_2$  copy number. Red segmentation corresponds to the mean of reads across the chromosome. Arrows show MYC and SEMA4D gene locations.  $\log_2$  ratios are given for segments overlapping with MYC and SEMA4D genes. Data are normalized with matched normal tissue. **C**, Kaplan-Meier curves showing brain metastasis-free survival analysis in 204 patients with breast cancer (GSE12276) based on SEMA4D and GPX1 expression in primary tumors.  $P$  value is given for SEMA4D<sup>hi</sup>/GPX1<sup>hi</sup> group compared with each group and was determined with log-rank test. **D**, Quantification of BLI intensity in brain at 6 weeks after intracranial inoculation. Circles represent individual mouse, horizontal lines represent the mean  $\pm$  SEM.  $n =$  number of mice.  $P$  values were obtained with two-tailed Wilcoxon rank sum test. **E-G**, Quantification of ex vivo BLI intensity in brain (**E**), lung (**F**), or bone at 10 weeks after intracardiac inoculation of BRx68 cells in mice (**G**). Circles represent individual mouse ( $n =$  number of mice), horizontal lines represent the mean  $\pm$  SEM.  $P$  values were obtained with two-tailed Wilcoxon rank sum test (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ).

of CTCs. Previously, crucial information on mechanisms of brain metastasis was identified from triple-negative breast cancer cell lines (13, 32, 33). However, molecular mechanisms underlying brain metastasis in the luminal subtype have not been well investigated. We identified members of the protein family previously validated (Serpine A5, Cathepsin L, V, and Z; refs. 17, 20; Supplementary Table S8) and novel drivers in brain metastasis. Here, we report a new role of SEMA4D as a mediator of BBB transmigration in CTCs. Beyond its physiologic roles in nervous and immune systems, previous studies report its involvement in tumor progression, including tumor angiogenesis and regulation of tumor invasion (34). As reported previously, SEMA4D enhances bone metastasis via inhibiting the osteoblast function (35), but no studies have shown its contribution to brain metastasis. Disrupting the interaction between SEMA4D and its receptor, Plexin-B1, significantly impaired experimental BBB transmigration, drawing attention to the possibility of therapeutically targeting this interaction in metastatic disease. In addition, we identified the oncoprotein MYC as a potential cooperating mechanism with SEMA4D to promote brain metastasis. A

previous study has shown that MYC can upregulate free-radical scavenging enzymes, such as GCLC, to facilitate cell resistance to oxidative stress from activated immune cells (36). Furthermore, MYC gene amplification is often acquired in lethal distant breast cancer metastases of unamplified primary tumors (37), and is often seen in brain metastases (24). To our knowledge, no one has investigated whether MYC has a direct role for tumor-cell adaptation in the brain microenvironment. On the basis of our data showing that MYC upregulates key redox enzymes, mitigates the accumulation of ROS in tumor cells resulting from activated microglia, promotes tumor-cell survival under oxidative stress, and is associated with less apoptosis of tumor cells in cancer patient brain metastases, we propose that MYC may facilitate the disseminated tumor cells that entered the brain parenchyma to resist the initial cytotoxic effect of the activated brain microenvironment. Because MYC itself is a difficult therapeutic target, we investigated MYC-mediating factors involved in tumor-cell survival and brain metastasis. In this sense, our study suggests that the role of MYC in brain metastasis may be at least partially mediated by the GPX1 enzyme. The

association between simultaneous high SEMA4D and GPX1 expression in primary breast tumors and decreased brain MFS implicates these genes as potential therapeutic targets for preventing brain metastasis in patients. In conclusion, these data provide evidence of the promising role of CTC-derived markers as early prognostic factors for organotropic metastases and contribute to the molecular understanding of the distinct, multistep mechanisms of complex brain metastasis.

## METHODS

### Cell Culture

CTC lines were derived from patients with luminal breast cancer, as reported previously (8). CTC lines (all female donors) were cultured in ultra-low attachment plates with RPMI-1640 medium, supplemented with EGF (20 ng/mL), basic fibroblast growth factor (20 ng/mL), 1× B27, and 1× antibiotic/antimycotic, in 4% O<sub>2</sub> and 5% CO<sub>2</sub>. CTCs were maintained in culture at constant cell density, and because they often show a slow rate of growth, the time spent in culture ranged from 8 to 12 months. HLMECs were obtained from Lonza in 2016 (certificate of analysis did not specify donor's sex). Primary HBMECs (male donor) were purchased from Cell Systems in 2016. HBMECs and HLMECs were cultured in endothelial cell media (ECM Kit, ScienCell Research Laboratories). Primary human astrocytes were purchased from ScienCell in 2016 and cultured on poly-L-lysine-coated cell culture dishes in astrocyte cell media (AM Kit, ScienCell Research Laboratories). Primary human pericytes were provided by Dr. Ruchi Bajpai from University of Southern California (USC; Los Angeles, CA) in 2016 (sex of cells not specified by the provider) and cultured in pericyte cell media (PM Kit, ScienCell Research Laboratories). Human microglia cell line (HMC3, human fetal brain-derived, sex of cells not specified by the provider) was purchased from ATCC in 2018 and maintained in Eagle Minimum Essential Medium (EMEM) supplemented with 10% FBS. Breast cancer cell line MCF7 (female donor) was purchased from ATCC in 2018, and T47D (female donor) was provided by Dr. Julie Lang (USC) in 2016. Cell lines were maintained in RPMI medium supplemented with 10% FBS. Cultures were assayed routinely for *Mycoplasma* contamination using the Mycoplasma Detection Kit (MycAlert, Lonza Group). Cell line authentications were performed by autosomal short tandem repeat profiles by University of Arizona genetics core.

### Patient-Derived Brain Metastases Samples

Written informed consent was obtained from patients with cancer undergoing neurosurgery. Brain metastasis samples were collected under the Institutional review board (IRB) protocol HS-18-00450 approved by the IRB at Keck School of Medicine of USC and in accordance with Belmont Report ethical guidelines. Patients with cancer who were scheduled for brain metastasis removal surgery at the Neurosurgery Department at Keck School of Medicine Hospital (USC) were approached before the surgery to consent for utilizing the removed tumor tissue for research purposes. Besides clinically determined eligibility for removal surgery, there was no selection for cancer type, disease characteristics, or patient population for the samples used in this study. Except the cancer type, the patient information is deidentified. Therefore, we reported only the cancer types of these samples (Supplementary Table S9). Freshly removed brain metastasis tissues were immediately transported to the laboratory, divided into several pieces, and fixed in 10% formalin for paraffin embedding or cold 4% paraformaldehyde (PFA) for tissue freezing. Method for tissue freezing consisted of 4% PFA fixation for 4.5 hours. After fixation, samples were washed in PBS three times and cryoprotected in 30%

sucrose overnight. The next day, samples were transferred in Optimal Cutting Temperature solution and promptly frozen in dry ice and then stored at -80°C until cryosectioning.

### Experimental CTC-Derived Metastatic Variants

All animal experiments were carried out in accordance with approved protocols from the Institutional Animal Care and Use Committee of USC. Metastatic tumors were established by inoculation of  $1 \times 10^5$  GFP-LUC-labeled CTCs in 100  $\mu$ L of PBS into the left cardiac ventricles of 6-8-week-old female NSG mice supplemented with subcutaneous slow-release estrogen pills. Metastasis formation was monitored every 2 weeks by *in vivo* imaging using IVIS Lumina III (PerkinElmer) following intraperitoneal injection of 100  $\mu$ L of D-luciferin substrate (Syd Labs). Metastases were confirmed by *ex vivo* bioluminescence imaging and resected under sterile conditions. Brain lesions were placed in brain tumor dissociation medium (Miltenyi Biotec). Lung, ovary, and kidney lesions were minced and placed in dissociation medium containing RPMI supplemented with 2 mg/mL collagenase I and 15 U/mL DNase. Samples were further dissociated into single-cell suspension by automated dissociation using the gentleMACS dissociator. Bone lesions were placed in tumor dissociation media (Miltenyi Biotec) and gently ground three times in a mortar. Bone tissues were further dissociated in tumor dissociation media on an orbital shaker at 37°C for 45 minutes. After dissociation, all tissues were filtered through a cell strainer (70  $\mu$ m), and cells were washed twice in PBS, then resuspended in PBS with 1% BSA. GFP<sup>+</sup> cells were sorted for further propagation in culture, inoculation in mice, or RNA-seq and ATAC-seq. GFP<sup>-</sup> cells were also sorted and used for RNA extraction.

### Generation of CTC-Derived Brain Tumors in NSG Mice

Brain tumors were established by inoculation of  $5 \times 10^4$  GFP-LUC-labeled CTCs in 3  $\mu$ L of RPMI into brain of 6-8-week-old female NSG mice supplemented with subcutaneous slow-release estrogen pills. The coordinates for injection of tumor cells were used for intracerebral tumor establishment, where a burr hole was drilled 2 mm to the right of the bregma and 1 mm anterior to the coronal suture to a depth of 3 mm. Mice were monitored weekly, and bioluminescence signal was normalized by signal from day 1. Kaplan-Meier curves were generated with an endpoint defined as the moment a given mouse had reached 10- or 50-fold tumor signal change.

### Ex Vivo Luciferase Activity Assay

Luciferase activity assay was performed as described previously (38). Briefly, freshly resected organs were snap-frozen and individually pulverized to fine powder with dry ice-chilled porcelain pestle and mortar. Pulverized samples were weighted then incubated and vortexed with Promega reporter lysis buffer for 15 minutes. Alternating freeze and thaw was performed three times with liquid nitrogen and 37°C water bath, and samples were centrifuged at 12,000  $\times$  g for 10 minutes. Each supernatant (20  $\mu$ L) was mixed with 100  $\mu$ L of luciferase assay reagent (Promega), and luciferase activity was measured in a single transparent tube by Lucetta Luminometer (Lonza) with 2 seconds for delay time and 10 seconds for read time. The specificity of the luciferase activity was shown by spiking LUC<sup>+</sup> CTCs in defined numbers in tumor-free brain. Presence of metastases was determined by LOD calculated with the formula:  $LOD = \text{mean}_{\text{blank}} + 1.635(\text{SD}_{\text{blank}}) + 1.635(\text{SD}_{\text{low concentration sample}})$ , where  $\text{mean}_{\text{blank}}$  and  $\text{SD}_{\text{blank}}$  are the mean and SD of the replicates of a blank sample, and  $\text{SD}_{\text{low concentration sample}}$  is the SD of the replicates of the sample containing the lowest concentration of the cell lysate. LOD for bone and lung were based on luciferase activity from normal (tumor-free) bone and lung organ, respectively.

### RNA Isolation and Gene-Expression Profiling

Sorted GFP<sup>+</sup> cells, corresponding to human tumor cells, were collected into a prechilled tube maintained at 4°C containing PBS with 1% BSA. RNA was collected from 50,000 sorted cells, according to the manufacturer's instructions (Quick RNA, Zymo). RNA integrity was measured using Bioanalyzer (Agilent). Sequencing libraries were prepared from 100 ng total RNA with KAPA Stranded RNA-Seq Kit with RiboErase (KAPA Biosystems) according to the protocol supplied by the manufacturer. Single-end 75-bp sequencing was performed using the Illumina NextSeq High Output 75 Cycle Kit at the USC Molecular Genomics Core or Children's Hospital LA (CHLA) Molecular Pathology Genomics Core. To control for the dissociation effects, additional parental CTC libraries of each CTC line were subjected to the same dissociation protocols used for dissociating metastatic tumor. Tumor cell sequencing reads were mapped to hg19 (GRCh37) reference using STAR v2.5.2b (39). Genes annotated in the ENSEMBL GRCh37.p13 GTF (release 75) were quantified using HTSeq-count (40). Differential analysis was performed using DESeq2 (41), and genes with FDR ≤ 0.05 were identified as differentially expressed (DE). Benjamini-Hochberg procedure was applied for multiple test correction. DE genes across the target metastatic sites were identified after controlling for the cell line, dissociation, and culture effects. The DESeq2-normalized mean counts and the shrunken log fold change were used for MA plots. Overrepresented gene ontology (GO) molecular terms and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analysis comprising the DE genes were identified using the Bioconductor package Goseq (42). RNA-seq data of different breast cancer lines were obtained from Daemen and colleagues (ref. 11; GSE48213). Because accurate information on batches of individual samples in the public data is not available, only PCA of CTCs and public data was performed after applying variance stabilization transformation to the normalized read counts. To take into account the differences in the variations across the lab, PCA was performed after regressing out lab effect using limma (43). Mice microenvironment samples (sorted GFP<sup>+</sup> cells) were mapped to a custom genome combining hg19 and mm10 (GENCODE GRCh38.p5). Only reads mapped to mm10 genome were quantified and downstream analysis was performed in a similar fashion. For each organ, differentially expressed genes (defined by an FDR ≤ 0.05) in the stroma of CTC-inoculated mice were identified by comparing to the stromal cells from the same organ of tumor-free mice after controlling for cell-line effects. To compare the mice microenvironment response when exposed to tumor cells to that of activated primary microglia, we compared our results with Das and colleagues (ref. 12; GSE80304). The differential analysis between lipopolysaccharide (LPS)-activated microglia (4 hours) versus control primary microglia was performed using DESeq2 at an FDR level ≤ 0.05. Out of the 181 differentially overexpressed genes in the brain tumor microenvironment, 97 genes were also differentially overexpressed in activated primary microglia. All PCA plots were generated using R with the top 1K variable genes across the samples.

### Chromatin Accessibility Assay

ATAC-seq was performed as described previously (44). Briefly, nuclei preparation was generated by resuspension of 25,000 or 50,000 sorted cells in nonionic lysis buffer [10 mmol/L Tris pH 7.4, 10 mmol/L NaCl, 3 mmol/L MgCl<sub>2</sub>, 0.1% (v/v) Igepal CA630]. Transposition reaction was performed by using the Tn5 Transposase Nextera Kit at 37°C for 30 minutes. Transposed DNA was further amplified by PCR, and the generated libraries were purified using Agencourt AMPure XP (Beckman Coulter). Library quality was controlled by using a Bioanalyzer High-Sensitivity DNA Analysis Kit (Agilent). Paired-end 75-bp sequencing was performed using a NextSeq 500/500 150 Cycles Kit at the USC Molecular Genomics Core or CHLA Molecular Pathology Genomics Core. Sequencing reads

were trimmed for Nextera adapter sequences with trimalore and mapped to hg19 reference using Bowtie2 v2.2.8 (45) with parameters -X 2000 -fr -no-discordant -no-mixed -minins 38. Only nonmitochondrial reads with a minimum mapping quality score (≥30) were kept for the downstream analysis. Duplicate reads were removed using Picard. All mapped reads were offset by +4 bp for the positive strand and -5 bp for the negative strand (46). The reads mapping to the promoter regions (±2.5 Kb of TSS) of all annotated hg19 genes were counted and the top 1K variable sites across samples were used to generate the PCA plot. Accessible sites were identified for each sample using MACS2 (47) with parameters -q 0.01 -shift -100 -extsize 200 -nomodel -nolambda. Peaks intersecting with the ENCODE-blacklisted regions were removed. For differential analysis, overlapping peaks across conditions were merged using BEDtools (48) to obtain a union set, and reads aligning to this set were counted using featureCounts (49). Differentially accessible peaks were then identified from this union set using DESeq2 (41). The number of reads mapping to the promoter region (±2.5 Kb of TSS) of annotated hg19 genes was quantified and the top 1K variable sites were selected for the PCA after the variance stabilizing transformation. The accessibility plots were generated on the basis of the normalized number of Tn5 insertions after pooling the individual groups separately (all the brain metastatic libraries together, etc.).

### In Vitro BBB Transmigration Assays

*In vitro* BBB was composed with HBMECs (50,000 cells per well) in coculture with human pericytes (100,000 cells per well) and astrocytes (50,000 cells per well). Artificial BBB was formed for 3 days on a transwell insert with 3-μm membranes coated with gelatin and poly-L-lysine. Three days later, permeability to serum albumin was tested. In the top chamber, 500 μL of Evans blue-conjugated albumin (0.45% in phenol-free media) was added and incubated for 30 minutes at 37°C. Absorbance of medium from the bottom chamber was measured at 620 nm. For BBB penetration assays, GFP<sup>+</sup> cancer cells (10,000 cells per well) were allowed to transmigrate for 48 hours toward an FBS gradient. Transmigrated tumor cells were quantified in the bottom chamber and in the bottom side of the culture insert. The inserts were washed in PBS once and fixed in 3.7% PFA for 15 minutes. Cells were made permeable with 0.1% Triton X-100 in PBS for 10 minutes, blocked with 5% goat serum (Invitrogen) in PBS with 0.1% Tween 20 for 1 hour at room temperature, and then incubated with GFP primary antibody (ab13970, Abcam) diluted 1:500 in 5% goat serum in PBS overnight at 4°C. Goat anti-chicken Alexa 488 secondary antibody (A-11039, Life Technologies) was used at a dilution of 1:500 in 5% goat serum in PBS with 0.1% Tween 20 for 1 hour at room temperature. The membranes from the insert were mounted on a microscope slide. For each experiment, images from 3 to 5 inserts were taken and the number of GFP<sup>+</sup> tumor cells was quantified with 20× objective using Hybrid Cell Count software (BZ-II Analyzer, Keyence). Transmigrated tumor cells in the bottom chamber were counted using 20× objective.

### Microglia and Tumor Cell Coculture

Tumor cells were seeded at a density of  $5 \times 10^4$  cells/mL in ultra-low attachment 6-well plates with EMEM 10% FBS. On top of the well, coculture was performed in a transwell insert with 0.4-μm membrane seeded with HMC3 cells ( $10^5$  cells per well) or with 50:50 HMC3:tumor cells ratio. Two days after coculture, ROS level in cells seeded in bottom chamber was evaluated. Cells were incubated with 10 μmol/L of CellRox Orange dye (Invitrogen) at 37°C for 30 minutes. To verify the specificity of the CellRox reagent, ROS was activated by treatment with 50 μmol/L Luperox TBH70X just before cell staining. In some experiments, cocultures were incubated with 5 mmol/L N-Acetyl-L-cysteine. 7-AAD was added to exclude dead cells during recording. Fluorescent cells were detected by FACS Aria II (BD Biosciences).

### Annexin V Detection Assay

Apoptotic cells were detected with an Annexin V Apoptosis Detection Kit (BD Biosciences) and according to the manufacturer's instructions. Cells were treated with 50  $\mu$ mol/L Luperox TBH70X for 24 hours prior to annexin V detection. Annexin V-positive cells were detected by FACS LSRII (BD Biosciences).

### Virus Production and Infection

The pCDH-puro-cMyc and pCDH-puro-control plasmids were purchased from Addgene. The shRNA sequence targeting human *SEMA4D* used was: 5'-CCGGCCTGAACCTAACATCCTTAACTC GAGTTAAAGGATGTTAAGTTCAGGTTTTTG-3' (targeting coding sequence) and was cloned into pLKO.1 vector. The pLKO.5-non-target shRNA control, shMYC (TRCN0000039642), shGPX1-#2 (TRCN0000418594), and shGPX1-#5 (TRCN000046231) plasmids were purchased from Sigma. For lentiviral particles production, 293T cells were cotransfected with either Lenti-Luc-GFP or pCDH-puro-cMyc in combination with third-generation lentivirus packing vectors (VSVG, PMDL, REV) or second-generation lentivirus packing vectors (VSVG, pCMV-dR8.91), respectively, using TransIT-LT1 transfection reagent (Mirus). Similarly, 293T cells were cotransfected with pLKO.1 *SEMA4D*-targeting shRNA vectors in combination with second-generation lentivirus packing vectors (VSVG, pCMV-dR8.91). Growth medium was collected 48 and 72 hours post-transfection, then viruses were concentrated with Lenti-X concentrator (Clontech), and viral pellets were resuspended in 300  $\mu$ L PBS. *SEMA4D*-overexpressing cells were established by transduction with retroviral particles. Retroviral-based packaging vectors—pBabe, Amphi and VSVG—were purchased. The cDNA for full-length human *SEMA4D* was obtained by RT-PCR and cloned into pBabe with *Bam*HI and *Eco*RI restriction enzymes. For retroviral particle production, 293T cells were cotransfected with pBabe-*SEMA4D*, Amphi, and VSVG vectors using TransIT-LT1 transfection reagent. Viral supernatants were harvested at 48 and 72 hours post-transfection and concentrated with Retro-X concentrator (Clontech), and viral pellets were resuspended in 300  $\mu$ L PBS. CTC lines were infected overnight with 100  $\mu$ L lentiviral particles in 8  $\mu$ g/mL polybrene. Two days later, the cells were selected with 2  $\mu$ g/mL of puromycin for 3 days.

### Western Blotting

Cells were harvested following wash with PBS. Cells were lysed by suspension in buffer containing 10 mmol/L Tris HCl (pH 7.4), 5 mmol/L EDTA, 1 % Triton, and a protease inhibitor cocktail. Total proteins (10  $\mu$ g) were run on SDS-polyacrylamide gels and transferred onto a polyvinylidene difluoride membrane. Primary antibodies used in this study were: anti-CD100/*SEMA4D* (610670, BD Biosciences), anti-GAPDH (ABS16, Millipore), anti-HSP90 (ab13492, Abcam), and anti-c-MYC (ab39688, Abcam). Membranes were probed overnight at 4°C, followed by incubation with an anti-IgG polyclonal antibody conjugated to peroxidase (Bio-Rad) for 1 hour at room temperature. Bands were detected after exposition to a chemiluminescent substrate (Pierce ECL Western Blotting Substrate, Thermo Fisher Scientific).

### qRT-PCR

Total RNA was purified from various cell samples using the Quick RNA Kit (Zymo), according to the manufacturer's instructions. The cDNA was generated by reverse transcription using the iScript master mix cDNA Synthesis Kit (Bio-Rad) in a 20  $\mu$ L reaction containing 100 ng of total RNA. Real-time PCR was performed using iQ SYBR Green Supermix (Bio-Rad) on a CFX iCycler real-time PCR instrument (Bio-Rad). The primer sequences used are: human *SEMA4D* (forward, 5'-AAGCAGCATGAGGT GTATTGG-3'; reverse 5'-AGTTGAGGCACTCTGTCTGTT-3'); human 36B4 (forward, 5'-GTGTTGACAATGGCAGCAT-3'; reverse,

5'-AGACACTGGCAACATTGCGGA-3'); human GAPDH (forward, 5'-GGAGCGAGATCCCTCCAAAAT-3'; reverse, 5'-GGCTGTTGTCA TACTTCTCATGG-3'); human PLXNB1 (forward, 5'-ACCAACTGCAT TCACTCCAA-3'; reverse, 5'-GCACATCATAGGCATCACAG-3'); human PLXNB2 (forward, 5'-AGCCTCTTCAAGGGCATCTG-3'; reverse, 5'-GCCACGAAAGACTTCTCCCC-3'); mouse plxn1 (forward, 5'-GT GGTCGTTACGGTCTTATCCA-3'; reverse, 5'-AAGCTGCAAAGAGTA CGTCCC-3'); and human MYC (forward, 5'-GGCTCCTGGCAAAA GGTC-3'; reverse, 5'-CTGCGTAGTTGTGCTGATGT-3'); human SOD1 (forward, 5'-GGTGGGCCAAAGGATGAAGAG-3'; reverse, 5'-CCACAA GCCAAACGACTTCC-3'); human SOD2 (forward, 5'-GCTCCGGTTTGGGT GATCTG-3'; reverse, 5'-GCGTTGATGTGAGGTTCCAG-3'); human SOD3 (forward, 5'-ATGCTGGCGCTACTGTGTTCC-3'; reverse, 5'-CTCCGCCGAGTCAGAGTTG-3'); human GCLC (forward, 5'-GGAGGAAACCAAGCGCAT-3'; reverse, 5'-CTTGACGGCGTG GTAGATGT-3'); human GPX1 (forward, 5'-CAGTCGGTGTATGCCT TCTCG-3'; reverse, 5'-GAGGGACGCCACATTCTCG-3'). The thermal cycling program was 95°C for 3 minutes followed by 40 cycles of denaturing at 95°C for 15 seconds, annealing at 60°C for 15 seconds, and extension at 72°C for 15 seconds. Specificity of the products was assured by melting curve analysis. The relative transcript level was calculated with the  $\Delta\Delta C_t$  method and normalized with reference gene *36B4* or *GAPDH* identified as the most suitable reference genes for qRT-PCR analysis of target gene expression in our samples.

### CNV Analysis

Genomic DNA was isolated from bulk sample with Quick-DNA Extraction Kit (Zymo). Single cells were sorted by FACS into individual wells of 96-well PCR plates, using the FACSria II single-cell sorting protocol with specific adjustments. Each well of 96-well PCR plates was preloaded with 2  $\mu$ L volume of lysis buffer (50 mmol/L DTT and 200 mmol/L KOH). Whole-genome amplification (WGA) of single cells was carried out using the WGA4 Genomeplex Single Cell Whole Genome Amplification Kit (Sigma Aldrich, catalog no. WGA4). Briefly, the cells in lysis buffer were incubated for 2 minutes at 95°C. A master mix containing 6.5  $\mu$ L 10 mmol/L Tris-HCl-EDTA pH 8.0 per reaction and 1  $\mu$ L of the 10 $\times$  single-cell lysis and fragmentation buffer was added to the cold reaction. The samples were incubated for 4 minutes at 99°C. Further library preparation and amplification were carried out according to the manufacturer's protocol. Amplified DNA was purified using a QIAquick PCR Purification Kit (Thermo Fisher Scientific, catalog no. K210012). Concentration of amplified and purified DNA was quantified with Qubit Fluorometric Quantification (Thermo Fisher Scientific). Amplified DNA was sheared using sonication (Covaris S2/E210 Focused-Ultrasonicator) with the microtube setup and the 200 bp target size protocol for DNA shearing. Fifteen nanograms of amplified and sonicated DNA from single cells was used for library construction using the NEBNext Ultra DNA Library Preparation Kit for Illumina (New England Biolabs, catalog no. E7370L). The constructed library DNA concentration was quantified with Qubit (Thermo Fisher Scientific), and the expected library size distribution of 300 to 500 bp was confirmed using the Agilent 2100 Bioanalyzer (High-Sensitivity DNA Assay and Kit, Agilent Technologies, catalog no. 5067-4626). The individual libraries from barcoded single cells were pooled. The pooled libraries were cleaned using AMPure XP Beads (Beckman Coulter Inc., catalog no. A63882). Libraries were sequenced using the Illumina NextSeq 500 or the HiSeq2500 SR50 generating fastq files. Thirty base pairs were trimmed off the 5' end of each read to remove the WGA4 adapter sequence before alignment to the hg19 reference genome using the Bowtie algorithm. The resulting BAM file was sorted and PCR duplicates were removed using SAMtools. The number of reads falling into each of 5,000 "bins" comprising the entire UCSC reference genome was calculated using a Python script. Finally, an R script utilizing the Bioconductor package, DNACopy\_1.26.0, was used to normalize

and segment the bin counts across each chromosome, generating a genome-wide CNV profile. The raw sequencing data phs000730 was obtained from NCBI dbGAP (24). The FASTQ files were aligned to hg19 (GRCh37) reference using bwa-mem (50). Sambamba (51) was used to remove duplicates, and pileup was created using samtools mpileup v1.5 (52). Relative copy number was estimated between tumor and matched healthy samples using the copy-number tool from VarScan2 v2.3 (53) with default parameters. The differences in the sequencing depth were taken into account using data-ratio parameter. After adjusting for guanine-cytosine content using VarScan2 copyCaller, circular binary segmentation was performed and copy-number plots were generated using Bioconductor package DNACopy (R package version 1.52.0.). Segments with  $\log R \geq 0.2$  were considered as amplified.

### Immunofluorescence

Immunofluorescence staining was performed as described previously (8). CTCs or metastatic variant cells were spun onto poly-L-lysine glass slides with Spintrap for 10 minutes at  $800 \times g$ . Cells were fixed in 4% PFA in PBS for 10 minutes at room temperature and made permeable in PBS with 0.1% Triton X-100 for 10 minutes. Cells were stained with nuclear 4,6-dianidino-2-phenylindole, SEMA4D (A38812, Sigma), and Ki-67 (clone 7B11, Invitrogen). Fluorophore-conjugated secondary antibody (Molecular Probes) was used at a dilution of 1:500 in 5% goat serum in PBS with 0.1% Tween 20. Staining was measured using the Keyence BZ-9000 fluorescence microscope. Images are representative of at least three independent images per sample.

### PDX Models

Paraffin-embedded PDX tissues slides were a gift from Dr. Bodour Salhia (USC Norris Comprehensive Cancer Center, Los Angeles, California). Patient was consented for tissue collection under an IRB-approved protocol at Geisinger Health System (Danville, PA). The procedure to establish PDX models has been described previously (14).

### Targeted Mutation Analysis

Key driver mutations in CTC lines were previously identified (ref. 8; Supplementary Table S1) and the stability of mutant allele frequency was assessed in the BRx68 line over time in culture with the Ovation Target Enrichment system (NuGEN). Genomic DNA was isolated from bulk BRx68 cells with Quick-DNA Extraction Kit (Zymo) that have been cultured for different durations of time. The library preparation was proceeded with 400 ng genomic DNA according to the manufacturer's instruction and sequenced at the USC Molecular Genomics Core. Mutation variant allele frequency was identified using the PartekFlow package.

### IHC Staining

Organs were fixed with 10% formalin overnight and sectioned by USC's histology laboratory service. Sections of formalin-fixed organs were deparaffinized and rehydrated, and antigen retrieval was performed in 10 mmol/L citrate buffer (pH 6) for 15 minutes. Sections were washed and blocked for 1 hour at room temperature. Primary SEMA4D (ab134128, Abcam), mitochondria (ab92824, Abcam), IBA1 (ab5076, Abcam), GFAP (ab53554, Abcam), cytokeratin (349205, BD Biosciences), MYC (ab39688, Abcam), Ki-67 (14-5699-82, Invitrogen), or active caspase-3 (ab2302, Abcam) antibodies were diluted in antibody diluent (DAKO), and sections were incubated for 15 minutes at room temperature. Sections were incubated with HRP anti-mouse or anti-rabbit (EnVision, DAKO) for 30 minutes at room temperature. Samples were incubated for 5 minutes with DAB (Vector Laboratories) and counterstained with hematoxylin for 45 seconds before mounting. *In situ* apoptosis detection was performed on paraffin-embedded tissues sections with the Abcam Detection Kit (ab20638).

Assay was performed according to the manufacturer's instructions and for analysis, dark brown signal was considered as positive staining for apoptosis. Images represent at least three independent fields per sample. For each sample, images from three fields were taken and the number of dark brown<sup>+</sup> (*in situ* apoptosis assay) or Ki-67<sup>+</sup> tumor cells was quantified with 20 $\times$  objective using Hybrid Cell Count software (BZ-II Analyzer, Keyence).

### Statistical Analysis

Data are presented as mean  $\pm$  SD, unless otherwise specified. For *in vivo* experiments, sample sizes are noted in the corresponding figures. Statistical analyses were performed using GraphPad Prism Pro7. Numeric data were analyzed using unpaired two-tailed Student *t* test unless otherwise noted. For patient progression-free survival analysis, normalized gene expression data GSE12276 was used and imported in Partek Genomics Suite 6.6. Kaplan–Meier survival curves were generated with patients split into two quantiles, below and above the median (low 50%, high 50%) of *SEMA4D*, *MYC*, or *GPX1* expression, and *P* values were generated using log-rank statistic. *P* < 0.05 was considered statistically significant.

### Data and Software Availability

RNA-seq and ATAC-seq data are available in the Gene Expression Omnibus, with accession number GSE112856. The codes used to process and generate figures in this study can be found at <https://github.com/amalthomas111/CTCproject>.

### Disclosure of Potential Conflicts of Interest

M.F. Press reports receiving commercial research grants from Cepheid, Eli Lilly & Company, Novartis Pharmaceuticals, and Puma Biotechnology and has received other remuneration from Amgen, Inc. J. Lu is a consultant at Pfizer, Novartis, Puma, and Daiichi. D. Juric is a scientific advisory board member at Novartis, Eisai, Genentech, Petra Pharma, EMD Serono, Ipsen, Syros, and Guardant and reports receiving commercial research support from Novartis, Eisai, Genentech, EMD Serono, Syros, Takeda, Placon Therapeutics, Celgene, and Amgen. A. Bardia is a consultant/advisory board member for Novartis, Pfizer, Genentech, Merck, Daiichi, Sanofi, Radius, Spectrum, Immunomedics, and Taiho. J. Hicks has ownership interest (including patents) in Epic Sciences, Inc., and is an unpaid consultant/advisory board member for the same. M. Yu has ownership interest (including patents) in CanTraCer Biosciences Inc. No potential conflicts of interest were disclosed by the other authors.

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## Circulating Tumor Cells Exhibit Metastatic Tropism and Reveal Brain Metastasis Drivers

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